Efficacy of Early Treatment with Onabotulinum Toxin A in Post-Stroke Spasticity

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Abstract

Background
To compare the effect of early versus delayed administration of Onabotulinum Toxin Type A (OnabotA) injections in patients with post-stroke spasticity in the Upper (UL) and/or Lower Limbs (LL).

Methods
A retrospective study, from 2014 to 2017, was conducted on consecutive patients with moderate to severe stroke according to the National Institute of Health Stroke Scale (NIHSS) [NIHSS score 14 to 25]. All patients received individualized treatment with OnabotA (Allergan Inc., Irvine, CA, USA) at baseline in the affected limbs. Study patients were divided in two groups; Group I: Administration of OnabotA within the first 6 months after stroke and Group II: Administration of Onabot A later than 6 months after stroke. The primary end-point was the changes in muscle tone, assessed by means of the Modified Ashworth Scale (MAS), at the end of the 1-year treatment period.

Results
Of 162 screened patients, 32 met the inclusion/exclusion criteria and were included in the study. In the LL, the MAS score reduction was significantly greater (p=0.0452) in those patients who received OnabotA treatment at early stages. Similarly, the Onabot A dose received throughout the study was significantly lower in patients receiving toxin treatment early in the process (p=0.0003). For each day that treatment with OnabotA was delayed, MAS score was 0.003 units (EE=0.001) greater.

Conclusion
The results of this study suggested that early treatment with Onabot A administered in post-stroke spasticity patients was associated with a better evolution of the process.

Keywords: Botulinumtoxin A; Early treatment; Modifies Ashworth scale; Postroke pain; Spasticity; Stroke

Introduction
Post-Stroke Spasticity (PSS) has been described as a relevant clinical problem in stroke survivors, as it can impair manual dexterity, mobility and balance, with a negative impact on independence [1]. As a result, spasticity has been hypothesized to have a significant negative impact on the health-related quality of life of stroke survivors [2].

Patients who do not recover some functional activity during the early stages (4 to 6 weeks after the stroke) have greater risk of developing long-term musculoskeletal complications such as contractures and pain [3]. Therefore, early recognition of spasticity and identification of predictors to recognize those stroke patients who are at risk of developing spasticity, are necessary to yield earlier treatment of PSS and possibly better outcomes [4,5].

The incidence of spasticity depends on its etiology. PSS is a common sequel with an incidence ranging from 17% to 43% [6,7], which is greater in younger patients [8] and the upper limb [6,9]. In an observational, multicenter study, conducted in patients with spasticity in Spain, it was shown that 63% of patients had PSS [10].

Scientific evidence shows that approximately 19% of patients present spasticity within 3 months of having a stroke [11] and this proportion increases to 38% at month 12 [12]. However, these percentages may vary depending on etiology, comorbidity, and available rehabilitation treatments.

The management of spasticity is a complex process that should be ideally is approached by a multidisciplinary team. Currently, spasticity is frequently managed through a combination of therapeutic modalities, including physiotherapy, oral medications (baclofen, tizanidine, dantrolene or benzodiazepines), chemoneurolysis procedures (phenol or ethyl alcohol) and intrathecal baclofen pump [13,14]. In addition, administration of BoNTA has been identified as an effective and safe treatment for the management of spasticity in routine clinical practice [15-21].

So far treatment of spasticity is currently delayed until patient develops signs of these complications. Although there is new evidence recommending to start with the treatment within the three months of the stroke onset [22,23], in a classical way, treatment of spasticity was usually delayed until secondary complications are established, approximately 6 months after the stroke [20]. Moreover, it is possible that deterioration in the musculoskeletal impairments before the initiation of spasticity treatment may be detrimental to the patient as...
musculoskeletal changes may have become too chronic and impossible to fully eradicate [24].

The aim of this study is to compare the effect of early versus delayed administration of Onabotulinum Toxin Type A (OnabotA) injections in patients with post-stroke spasticity in the Upper (UL) and/or Lower Limbs (LL).

Methods

A Retrospective study, from 2014 to 2017, was conducted on consecutive patients with moderate to severe stroke according to the National Institute of Health Stroke Scale (NIHSS) [25] (NIHSS score 14 to 25). The potential study population was all the patients seen at the outpatient service of the Physical Medicine and Rehabilitation Department of the Princess University Hospital, between 2010 and 2015, and met the inclusion criteria.

The study protocol was approved by the ethics committee of the Princess University (CIEC 2830, July 7, 2016) Hospital and conducted in accordance with the Declaration of Helsinki. The need for informed consent was waived in view of the retrospective and observational nature of the study.

Eligible patients were aged 18 years or older with evidence of upper or lower limb spasticity established by a score in the Modified Ashworth Scale (MAS) [26,27] equal or greater than 1; Have a NIHSS score post-fibrinolysis ranging from 14 to 25. Score ranging from 14 to 25; to carry out the PSS rehabilitation protocol of the Princess University Hospital; and have a minimum follow-up period of 12 months under BoNTA treatment.

All the patients included had to meet the currently established criteria as early predictors of spasticity [28] and do not present previous functional alterations with a premorbid BARTHEL basal index of 100.

Those patients with concomitant diseases that, in the opinion of the investigator, could confuse or difficult the proper assessment of spasticity; appearance of a new central vascular event during the follow-up; who were participating in a clinical trial; or have any condition considered to be a contraindication to BoNTA (allergic reaction, diseases of the neuromuscular junction, peripheral neuropathic diseases, etc.) were excluded from the analysis.

At baseline, patients may be receiving conventional anti-spastic therapy, including oral anti-spastic medications, physiotherapy and occupational therapy at the discretion of the specialist.

All patients received individualized treatment with OnabotA (Allergan Inc., Irvine, CA, USA) at baseline in the affected limbs, as determined by clinical factors, spasticity patterns, specialist preference, and treatment goals [16,22,29].

OnabotA injections (one or two per injected muscle, according to patient characteristics), contained a preparation of 100 units of diluted with 1 cc 0.9% saline and were injected by ultrasound guidance.

Study patients were divided into two groups, depending on the time of starting the OnabotA treatment; Group I: Administration of OnabotA within the first 6 months after stroke and Group II: Administration of OnabotA later than 6 months after stroke.

The primary end-point was the change in muscle tone, assessed by means of the modified Ashworth scale reduction, at the end of the 1-year treatment period.

The MAS scores were assessed for five muscle groups in the UL (wrist flexors, elbow flexors, elbow extensors, shoulder abductors and shoulder adductors) and six in the LL (hip flexors, hip adductors, knee extenders, knee flexors, plantar flexors and ankle-dorsal flexors). The MAS is a 6-point scale [0 (no increase in muscle tone) to 4 (affected part (s) rigid in flexion or extension)] that measures resistance to passive movement across a joint [26,27]. Mean MAS score in the UL or LL was calculated as the average of MAS scores on muscles of the UL or LL on the affected body side, defined as the side with the highest average MAS score at baseline.

Secondary endpoints included pain reduction assessed by Visual Analogue Scale (VAS) [30]; gait capability [assessed as autonomous walking capacity with technical supports (cane or orthosis) or without autonomous walking capacity]; need of concomitant medication for pain; number of infiltrated muscles (as a surrogate of less spasticity, regardless of spastic pattern); and Barthel’s index at the end of the study follow-up [31].

Statistical Analysis

A standard statistical analysis was performed using MedCalc 17.5.3 (MedCalc Software bvba, Ostend, Belgium).

Before the study, it was determined that a sample of at least 15 patients per group was required to detect a difference of 1 unit in mean MAS score change at a significance level of 0.05, with a power of 0.80 and assuming a standard deviation of 0.9. A follow-up loss rate of the 15% has been estimated.

Data are expressed as number (percentage), mean [Standard Deviation (SD)], mean [95% confidence Interval (95% CI)], or median (95% CI) as appropriate. We examined the distribution of continuous variables with a D’Agostino-Pearson test.

If data were normally distributed, one-way Analysis of Variance (ANOVA) was used to compare means between treatment groups for quantitative variables at baseline. Two-way ANOVA was used to evaluate the effect of treatments and the time factor. When a normal distribution was not expected the Kruskal-Wallis test was used.

Categorical variables were compared using a Chi-square test and a Fisher’s exact test, as needed.

To quantify the relationship between the OnabotA doses and the changes in MAS and pain, as dependent variables, and time to administration of OnabotA, as independent variable, a linear regression analysis was performed. For linear regression analysis, the Pearson correlation coefficient (r) was used.

A probability value of p, 0.05 was considered indicative of statistical significance.

Results

Of 162 screened patients, 32 met the inclusion/exclusion criteria. Their main characteristics are shown in table 1. Regarding the severity of the process (according to the post-fibrinolysis NIHSS score), in group 1, 2 (12.5%) patients were classified...
as moderate and 14 (87.5%) as severe; compared to 1 (6.3%) classified as moderate and 15 (93.7%) classified as severe in group II.

### Table 1: Baseline clinical and demographic characteristics.

<table>
<thead>
<tr>
<th>Abbreviations:</th>
<th>Baclofen + analgesia</th>
<th>Analgesia + neuromodulators</th>
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<tr>
<td>n=number; SD=Standard Deviation; CI=Confidence Interval; NIHSS=National Institute of Health Stroke Scale MAS=Modified Ashworth Scale</td>
<td>1 (6.3)</td>
<td>6 (37.5)</td>
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<tr>
<td>*One-way ANOVA</td>
<td>0 (0.0)</td>
<td>3 (18.7)</td>
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<tr>
<td>**Chi-square Test</td>
<td>0.3627*</td>
<td>0.0012**</td>
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<td>The mean time (95% CI) from stroke to the start of OnabotA treatment was 90.4 (68.4 to 112.5) and 272.4 (225.1 to 319.7) days in groups I and II, respectively, p &lt;0.0001.</td>
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<td>The mean dose (SD) of OnabotA administered at baseline was 337.5 (71.9) U and 393.8 (44.3) U in groups I and II, respectively, p=0.012.</td>
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<td>At baseline, the mean (SD) number of infiltrated muscles in the UL were significantly greater in group II [6.9 (1.8); 95% CI: 5.8 to 7.7] than in group I [3.3 (1.6); 95% CI: 2.4 to 4.2], p&lt;0.001. However, there was no significant difference between the mean number of LL infiltrated muscles between groups I [2.8 (1.9); 95% CI: 1.7 to 3.8] and II [4.2 (2.1); 95% CI: 3.1 to 5.3], p=0.0523.</td>
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<td>The pain degree at baseline was significantly greater in group II [7.1 (2.1); 95% CI: 5.9 to 8.2] than in group I [5.3 (2.5); 95% CI: 3.9 to 6.7], p=0.0419.</td>
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<td>During the 12-month minimum follow-up of the study, the mean (SD) number of infiltrations was similar in both groups: 3.6 (1.0) versus 4.0 (0.0) in groups I and II, respectively, p=0.100.</td>
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<td>The table 2 summarizes the results of the main study results at the end of the study follow-up period.</td>
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<td>There was an overall improvement in mean MAS in the upper limb in both groups (Figure 1), with a greater improvement observed in group I than in the group II (mean change -0.72 versus -0.38, respectively) (Table 3).</td>
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<td>The differences observed between the two study groups in UL and LL MAS, OnabotA dose, and number of UL and/or LL treated muscles after one year of treatment are shown in table 3.</td>
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<td>In the LL, the MAS score reduction was significantly greater (p=0.0452) in those patients who received OnabotA treatment at early stages.</td>
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<td>The mean (SD) (95% CI) total dose of OnabotA administered throughout the study follow-up was significantly greater in group II [843.8 (81.4) U, 95% CI 800.4 to 887.1 U] than in group I [593.8 (163.2) U, 95% CI 506.8 to 680.7 U], p&lt;0.0001.</td>
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<td>Similarly, the OnabotA dose received throughout the study was significantly lower in patients receiving toxin treatment early in the process (p=0.0003) (Table 3).</td>
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<td>The number of patients requiring anti-spastic treatment significantly decreased after OnabotA treatment [12 (37.5%)] as compared to baseline [5 (12.5%)], p=0.0491.</td>
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The figure 2 shows the relationship between the time elapsed between the stroke and the beginning of the OnabotA treatment and the dose of OnabotA administered at month 12 (A) and the value of LL MAS at the end of the follow-up (B).

For each day that the start of the OnabotA treatment was delayed, the total dose administered throughout the study increases by 0.82U (standard error [EE]=0.24). Additionally, for each day that treatment with OnabotA was delayed, the MAS score was 0.003 units (EE=0.001) greater.

The table 4 shows the correlation between the time elapsed between stroke onset and the beginning of OnabotA treatment and different study variables.

The time elapsed between stroke onset and the start of OnabotA treatment correlates significantly with UL MAS score (r=0.49, p=0.0041); LL MAS score (r=0.46, p=0.0077); pain (r=0.56, p=0.0009); number of UL infiltrated muscles (r=0.58, p=0.0006); and the total dose of OnabotA (r=0.52, p=0.0021) (Table 4).
The results of 5E study [10] have shown that, in Spain, the 79% of patients with spasticity received treatment with BoNTA. Furthermore, the scientific evidence supported a level a recommendation for BoNTA for the spasticity treatment, both in the upper and lower limb [33].

Until few years ago, treatment of spasticity was usually delayed until secondary complications are established, approximately 6 months after the stroke [20]. However, there is scientific evidence suggesting that delay the treatment of spasticity, until secondary complications are established implies the irreversibility of the process and condemns to a local or systemic lifetime treatment for spasticity [26,34].

The efficacy and safety of BoNTA for the treatment of spasticity in clinical practice has been widely demonstrated [15-21]. However, so far, the advantages of establishing treatment at early stages of the process have not been fully demonstrated.

It has been suggested that treatment of spasticity should be started early in the process, not only with physical therapy [35] but also with BoNTA [36,37]. Hesse et al., in a prospective study evaluated whether an early BoNTA injection, in subacute stroke patients, may prevent a disabling finger flexor stiffness six months later [36]. The results of this study found a positive effect of the BoNTA injection on finger flexor stiffness, presumably attributable to a reduced contracture development [36].

Cousin et al., in a double-blind randomized placebo-controlled trial evaluated whether a low dose of BoNTA, given early post stroke before clinically evident spasticity warrants treatment, will improve recovery of arm function [37]. The results of this study showed that low doses of BoNTA given at early stages of the process improved the recovery of arm function [37].

Moreover, the results of a meta-analysis, which aimed to evaluate the effects of BoNTA injection at early stages of PSS, demonstrated the beneficial effects of BoNTA treatment on improving hypertonicity within 3 months post-stroke [23].

This study was focused in mimic the real clinical practice conditions, that is why we selected, as cut-off point, the 6 months after stroke. Additionally, interventions were performed according to the needs of the patient, which reflects more accurately the reality of spasticity.

Moreover, it is noteworthy that total dose of OnabotA administered throughout the study follow-up was significantly lower in the patients who initiated treatment of spasticity earlier. This finding seems to indicate that early BoNTA administration may modify the course of the disease and reduce the incidence of PSS [23,36,37].

The results of this study are similar to those afore mentioned. A greater reduction in Ashworth scores was observed in patients receiving OnabotA at early stages and, in addition, the OnabotA dose administered throughout the study was significantly lower in patients who started treatment with OnabotA before.

Therefore, the significant correlation observed between the time elapsed between the stroke onset and the start of OnabotA treatment and the final values of MAS, in both UL and LL; pain assessment; number of LL infiltrated muscles and OnabotA dose administered...
throughout the study follow-up supports the fact that the initiation of OnabotA treatment at early stages of the PSS process was associated with a better evolution of the condition.

The results of a phase II clinical trial evaluating BoNTA treatment associated with early-stage physiotherapy in patients with upper limb spasticity following stroke [4] are pending. As far as we know, the design and the initial values have been published, but the results are not known.

The dosages and administration techniques used in our study are in line with the recommendations of the guidelines and consensus [38,39], although the total mean dose administered at baseline (365 U) was slightly higher than that published by the 5E study (278 U) [10].

There are limitations of our study that need to be mentioned. Selection bias, observational bias, and confounding are all inherent limitations of retrospective studies. Nevertheless, the strict inclusion/exclusion criteria applied in our study were such to minimize this potential bias. The study design does not allow us to make decisive conclusions about the early OnabotA administration. The second limitation is the single-center nature of the study, with a limited number of patients. Nevertheless, the sample size was calculated prior the study. Another important limitation of this study is the use of only one scale for assessing spasticity. The change in MAS have been used in different studies [40,41]. Although there are certain issues concerning validity and reliability, Ashworth Scales are the most widely used tests to assess the severity of muscle spasticity [42]. In fact, recently published studies have shown that inter- and intra-rater agreement for Modified Ashworth Scale scores was satisfactory [43,44].

In order to minimize this fact, the study assessed other different parameters, such as functional capacity by using a generic scale such as Barthel; pain assessment by means a VAS; the evaluation of needs parameters, such as functional capacity by using a generic scale such as Barthel; pain assessment by means a VAS; the evaluation of needs, satisfaction, quality of life and their effects on motor recovery and functional outcomes in stroke patients. Top Stroke Rehabil 17: 380-388.

An additional limitation may be the difference in the concomitant medication regimes between the two groups. Nevertheless, this finding may be explained by the fact that those patients that started the treatment at early stages required less concomitant treatments.

Despite these limitations, the results of this study suggested that OnabotA treatment administered at early stages in post-stroke spasticity patients was associated with a better evolution of the process. Further studies are needed, especially to elucidate whether OnabotA administered at early stages after stroke might modify the course of the disease.

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Conflict of Interest

No potential conflict of interest was reported by the authors.

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25. NIH Stroke Scale, National Stroke Association, Colorado, USA.


